

# SEC for



**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Post Office as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on

Date: September 25, 2001

Name: Carol Felenstein

Signature: Carol Felenstein  
*Clifford Chance Rogers & Wells LLP*

Docket No. 3499-132

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: David Lawrence and Ajay Junnarkar

Filed: 7/31/01 Group Art Unit: 2164

Serial No: 09/919,413 Examiner:

For: **ONLINE TRANSACTION RISK MANAGEMENT**

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Commissioner for Patents  
**BOX: MISSING PARTS**  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts (copy enclosed) dated September 10, 2001 received for the above identified application, enclosed please find the following:

1. ☒ Executed Declaration and Power of Attorney  
☐ Copy of Executed Declaration and Power of attorney from a prior application
2. ☐ A petition for Extension of Time

Please make the Declaration and Power of Attorney of record in the above-identified application.

The Commissioner is hereby authorized to charge the late submission surcharge of \$130.00 and any additional fee, or credit any overpayment to Deposit Account No. 50-0521. A duplicate copy of this transmittal is enclosed.

Date:

9/25/01

Respectfully submitted,

J P Kincart

Joseph P. Kincart

Reg. No. 43,716

Clifford Chance Rogers & Wells LLP  
200 Park Avenue  
New York, NY 10166-0153  
Telephone: (212) 878-3289

# 3

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

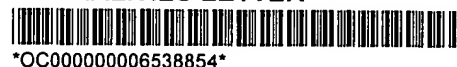


APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/919,413	07/31/2001	David Lawrence	3499-132

Clifford Chance Rogers & Wells LLP  
200 Park Avenue  
New York, NY 10166-0153

CONFIRMATION NO. 4206

## FORMALITIES LETTER



\*OC000000006538854\*

Date Mailed: 09/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/01/2001 00000050 500521 09919413

01 70.00 130.00 CR